

INDIANA STATE LIBRARY

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# LSTA Innovative Library Technology Grant *2011 Guidelines*

*Library Development Office*  
Indiana State Library  
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Indianapolis, IN 46202  
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[www.library.in.gov](http://www.library.in.gov)



**The Innovative Library Technology Grant application deadline is open-ended. Applications will be reviewed upon submission based on the quality of the project, its relevance to desired outcomes, and its potential for state-wide impact.**

**A library may submit only one application for an innovative library technology sub-grant.**

**The maximum amount of funding is \$500,000 per sub-grant.**

**A local cash match equal to at least 10% of the requested amount of LSTA funds is required.**

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## **Program Overview**

The Indiana State Library will offer all public, school media, academic, and special libraries in Indiana the opportunity to receive federal funds for the purpose of developing innovative library technology projects with the potential for state-wide impact. With assistance from these grant funds, libraries should incorporate innovative methods and emerging technologies into their current library services to address the ever-changing needs of their patrons. Libraries should use these funds to test the effectiveness of new program and service implementation and to discern their applicability and potential as a model for enhancing library technology and service.

## ***Desired Outcomes***

Projects awarded grant funding must evidence the ability to produce at least one of the following outcomes.

- ☐ Increased effectiveness of telecommunications, technology, and resources used in the library
- ☐ Increased availability of up-to-date and reliable information
- ☐ Delivery of new and improved programs that anticipate and meet Indiana residents' constantly changing needs for library services

Projects evidencing the ability to produce at least one of the following desired outcomes are given preference.

- ☐ Increased access to information for underserved urban and rural persons
- ☐ Increased computer and information literacy skills among digital immigrants
- ☐ Increased participation in statewide resource sharing
- ☐ Enhanced online presence of Indiana libraries

*Note: These desired outcomes are derived from the six overarching goals of the IMLS (see <http://www.imls.gov/programs/programs.shtml>) and the goals and needs identified in the Indiana LSTA Five-Year Plan (see <http://www.in.gov/library/files/LSTAPlan2008-2012.pdf>). Before applying for an LSTA Innovative Library Technology Grant, please be sure you understand the overarching LSTA goals and have developed a project that addresses these.*

## ***Eligible Applicants***

All academic, public (including branches), school media, and special libraries are eligible to apply if they meet the following criteria.

- ☐ Located in Indiana
- ☐ Comply with federal and state statutes and regulations
- ☐ Comply with the Children's Internet Protection Act (CIPA) (school media centers & public libraries)
- ☐ Meet Indiana Public Library Standards (public libraries)

Libraries that are active lenders to other libraries are given preference.

## ***Grant Guidelines***

- Grant funds must be spent between the project start date<sup>1</sup> and April 30, 2012.
- Applicants must demonstrate how new equipment, technology, software, or services will support at least one of the desired outcomes and meet at least one purpose of LSTA as defined by the IMLS<sup>2</sup> and one of the goals of Indiana's five-year plan<sup>3</sup>.
- Applicants must demonstrate that they meet all eligibility requirements and if applicable, provide evidence they meet any preferred eligibility requirements.
- Applicants must cite evidence of a legitimate community need and demonstrate how LSTA funds will alleviate the need and lead to desired outcomes.
- Grant recipients **must** submit all reports and meet all expectations in time as outlined in the *Managing Your LSTA Grant 2011* manual, available online at <http://www.in.gov/library/lsta.htm>.
- Digital files and the associated metadata records created as a result of the grant project **must** be submitted to the Indiana State Library Digital Initiatives Librarian for inclusion in *Indiana Memory*. Applicants must adhere to State Library standards for collection development ([http://www.in.gov/library/files/dig\\_colldev07.pdf](http://www.in.gov/library/files/dig_colldev07.pdf)), image scanning ([http://www.in.gov/library/files/dig\\_imgst.pdf](http://www.in.gov/library/files/dig_imgst.pdf)), and metadata ([http://www.in.gov/library/files/dig\\_metast.pdf](http://www.in.gov/library/files/dig_metast.pdf)).
- Technology, equipment, software, or services provided as a result of LSTA funding must be made open access.

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<sup>1</sup> The start date is dependent upon official finalization of the contract.

<sup>2</sup> <http://www.imls.gov/programs/programs.shtm>

<sup>3</sup> <http://www.in.gov/library/files/LSTAPlan2008-2012.pdf>

## ***Budget & Funding***

Applicants may apply for up to \$500,000.

Applicants must show that they will provide a cash match equal to at least 10% of the awarded amount of LSTA funds.

Applicants must adhere to federal guidelines for allowable and non-allowable expenses. See the Cost Principles on the LSTA website: <http://www.in.gov/library/lsta.htm>.

### **Examples of Allowable Costs**

Technology hardware and software  
Training for staff and volunteers  
Contracting outside agencies  
New project staff

### **Examples of Non-Allowable Costs**

Ongoing operating costs  
Wages and benefits for existing employees  
Entertainment costs (NO FOOD or BEVERAGES)

## ***Timeline***

The innovative grant application deadline is open-ended.

Contract and reporting deadlines for funded grant projects will be set on an individual basis and will define due dates for quarterly reporting, project end, spending of grant monies, submitting of reimbursement claims, and final reporting.

## ***Application Process***

Application materials are available on [our LSTA webpage](#). Please follow the general application instructions and refer to the line-by-line instructions also available on the webpage.

## ***Application Submission***

The grant application consists of an application form and budget worksheet. A copy of these documents must be submitted by **BOTH** mail **and** e-mail to be reviewed. Incomplete applications will not be reviewed.

### **Step One**

Mail or hand deliver one signed original of your completed application to *LSTA Innovative Library Technology Grant Application*, Library Development Office (Rm. 413), Indiana State Library, 315 West Ohio Street, Indianapolis, IN 46202.

### **Step Two**

E-mail one copy of your completed application materials to [jclifton@library.in.gov](mailto:jclifton@library.in.gov). You may attach the original Word and Excel files. Scans or PDFs of your originals are not required. If you have trouble meeting this requirement, notify the LSTA Grant Consultant at (317) 234-6550.

*You may request an advance review of your application by the LSTA Grant Consultant (jclifton@library.in.gov). The consultant will make recommendations for improvement but does not approve applications. Applications will be reviewed at the consultant's discretion as time allows.*

## ***Application Review***

Grant applications are reviewed by a panel of outside reviewers and State Library staff. Applications are scored in relation to the answers provided in each section of the form and the overall quality of the grant proposal. 100 total points are possible. Projects evidencing the ability to produce at least one preferred desired outcome as listed above are eligible to receive at most an additional 15 points. The highest scoring projects are recommended for awards. Should libraries have equal points, preference goes to libraries meeting the preferred eligibility requirements outlined above.

Reviewers may decide to fully fund, partially fund, or not fund any application received. Those who are either not funded or not fully funded can file an appeal with the Indiana Library and Historical Board within 30 days of receiving notice of the reviewers' decision.

*Questions? Please contact Jennifer Clifton at (317) 234-6550 or [jclifton@library.in.gov](mailto:jclifton@library.in.gov).*